



03-05-07

1FW

PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

43

+ 36 Refs.

Application Number

10/678,006

Filing Date

October 1, 2003

First Named Inventor

Giovanni COGLITORE

Art Unit

2835

Examiner Name

L. Lea-Edmonds

Attorney Docket Number

443452000103

ENCLOSURES (Check all that apply)☐ Fee Transmittal Form plus duplicate for fee processing☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/Incomplete Application☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

1. Communication Under 37 C.F.R. 1.97(i) (2 pages)
2. Form PTO/SB/08a/b - 10 pages + 1 copy for each of the 3 boxes - 30 pages (40 pages total)
3. 176 References (3 boxes)
4. Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

MORRISON & FOERSTER LLP (Customer No. 25226)

Signature

Printed name

Rick Shoop

Date

March 2, 2007

Reg. No.

45,763

I hereby certify that this paper is being deposited with the U.S. Postal Service as Express Mail, Airbill Nos. EV 596704909 US, EV 596704886 US & EV 596704890 US, on the date shown below in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: March 2, 2007

Signature: (Rosemarie Puljic-Salmeron)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill Nos. EV 596704909 US, EV 596704886 US & EV 596704890 US, in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: March 2, 2007

Signature:

(Rosemarie Puljic-Salmeron)

Docket No.: 443452000103
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Giovanni COGLITORE et al.

Confirmation No.: 9230

Application No.: 10/678,006

Art Unit: 2835

Filed: October 1, 2003

Examiner: L. Lea-Edmonds

For: HIGH DENSITY COMPUTER EQUIPMENT
STORAGE SYSTEM

COMMUNICATION UNDER 37 C.F.R. 1.97(i)

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:


Due to the fact a Final Office Action was mailed on January 26, 2006, and subsequently an Appeal Brief was filed February 23, 2007, for the above-referenced patent application, Applicants respectfully request that the attached references be placed in the file wrapper for the above-referenced application in accordance with the provisions of 37 C.F.R. 1.97(i).

Applicant believes no fee is necessary, but in the event the U.S. Patent and Trademark Office determines that an extension and or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit

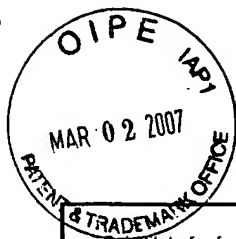
Account 03-1952 referencing docket no. 443452000103. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 2, 2007

Respectfully submitted,

By 
Rick Shoop
Registration No.: 45,763

MORRISON & FOERSTER LLP
755 Page Mill Road
Palo Alto, California 94304-1018
(650) 813-5804



ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
Sheet	1	of	10	Attorney Docket Number	443452000103

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1.	US-4,352,146	09-28-1982	Hermanutz et al.	
	2.	US-4,612,979	09-23-1986	Heitzig	
	3.	US-4,758,925	07-19-1988	Obata et al.	
	4.	US-5,023,754	06-11-1991	Aug et al.	
	5.	US-5,381,315-A	01-10-1995	Hamaguchi et al.	
	6.	US-5,832,988-A	11-10-1998	Mistry et al.	
	7.	US-5,934,485-A	08-10-1999	Harris et al.	
	8.	US-6,024,165-A	02-15-2000	Melane et al.	
	9.	US-6,095,345-A	08-01-2000	Gibbons	
	10.	US-6,496,366-B1	12-17-2002	Coglitore et al.	
	11.	US-6,850,408-B1	02-01-2005	Coglitore et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	12.	JP-57-064998-A	04-20-1982	Nippon Telegraph & Telephone		
	13.	JP-57-068100-A	04-26-1982	Nippon Telegraph & Telephone		
	14.	JP-57-201099-A	12-09-1982	Fujitsu Ltd.		√
	15.	JP-61-287299-A	12-17-1986	Fujitsu Ltd.		
	16.	JP-03-214490-A	09-19-1991	Hitachi Ltd.	Translation of Abstract Only	
	17.	JP-04-275095-A	09-30-1992	Fujitsu Ltd.	Translation of Abstract Only	
	18.	JP-05-160592-A	06-25-1993	Tokyo Shibaura Electric Co.	Translation of Abstract	√
	19.	JP-07-506222-A	07-06-1995	Ericsson Telefon AB	Translation of Abstract Only	
	20.	JP-07-202464-A	08-04-1995	Tokyo Shibaura Electric Co.	Translation of Abstract Only	
	21.	JP-08-124375-A	05-17-1996	Hitachi Ltd.	Translation of Abstract Only	
	22.	JP-08-273345-A	10-18-1996	Hitachi Ltd.	Translation of Abstract Only	
	23.	JP-09-274791-A	10-21-1997	Hitachi Ltd.	Translation of Abstract Only	
	24.	JP-11-066836-A	03-09-1999	Hitachi Ltd. et al.	Translation of Abstract	√

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

pa-1127173

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/678,006
				Filing Date	October 1, 2003
				First Named Inventor	Giovanni COGLITORE
				Art Unit	2835
				Examiner Name	L. Lea-Edmonds
Sheet	2	of	10	Attorney Docket Number	443452000103

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	25.	Defendant SuperMicro Computer, Inc.'s Final Invalidity Contentions [Patent L.R. 3-3, 3-6, and 3-7] in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper US LLP on December 1, 2006, 3 pages, Exhibit A (Long version, 502 pages), Exhibit A (Short version, 205 pages).	
	26.	Defendant SuperMicro Computer, Inc.'s Answer and Counterclaims to Complaint, Demand for Jury Trial, Certification of Interested Parties or Entities, Fed. R. Civ. P. 7.1 Disclosure Statement, Complaint Filed: September 2, 2005 in Case No. C05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on September 22, 2005, 11 pages.	
	27.	Rackable Systems, Inc.'s Reply to SuperMicro Computer, Inc.'s Counterclaims, Demand for Jury Trial in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on October 12, 2005, 4 pages.	
	28.	Complaint for Patent Infringement, Demand for Jury Trial, Certification of Interested Entities or Persons, Fed. R. Civ. P. 7.1 Disclosure Statement in Case No. C05 03561 EMC before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on September 2, 2005, 8 pages.	
	29.	Joint Case Management Statement in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on December 29, 2005, 9 pages.	
	30.	Stipulation and [Proposed] Order Granting Defendant and Counterclaimant SuperMicro Computer, Inc. Leave to Amend Answer and Counterclaims in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper US LLP on November 22, 2006, 3 pages.	
	31.	Defendant SuperMicro Computer, Inc.'s First Amended Answer and Counterclaims to Complaint, Demand for Jury Trial, Complaint Filed: September 2, 2005 in Case No. C05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper US LLP on November 28, 2006, 12 pages.	
	32.	Defendant SuperMicro Computer, Inc.'s Response to Rackable Systems, Inc.'s First Set of Interrogatories in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro</u>	
Examiner Signature			Date Considered

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
Sheet	3	of	10	Attorney Docket Number	443452000103

		<u>Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on January 13, 2006, 10 pages, Proof of Service, and Verification dated January 25, 2006.	
	33.	Defendant SuperMicro Computer, Inc.'s Response to Rackable Systems, Inc.'s Second Set of Interrogatories in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on February 16, 2006, 4 pages and Proof of Service.	
	34.	Defendant SuperMicro Computer, Inc.'s Supplemental Response to Rackable Systems, Inc.'s First Set of Interrogatories (Nos. 1, 2, 3, 4, 6, 8) in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on May 26, 2006, 16 pages, Verification, and Proof of Service.	
	35.	Defendant SuperMicro Computer, Inc.'s Supplemental Response to Rackable Systems, Inc.'s Second Set of Interrogatories in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on May 26, 2006, 4 pages, Verification, and Proof of Service.	
	36.	Defendant SuperMicro Computer, Inc.'s Second Supplemental Response to Rackable Systems, Inc.'s First Set of Interrogatories (No. 8) in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 27, 2006, 55 pages, Verification, and Proof of Service.	
	37.	Defendant SuperMicro Computer, Inc.'s Third Supplemental Response to Rackable Systems, Inc. First Set of Interrogatories (Nos. 1-3, 5-7) in Case No. C05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper US LLP on September 13, 2006, 17 pages, Verification, and Proof of Service.	
	38.	Defendant SuperMicro Computer, Inc.'s Fourth Supplemental Response to Rackable Systems, Inc.'s First Set of Interrogatories (No. 8) in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. SuperMicro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on September 29, 2006, 163 pages and Proof of Service.	
	39.	Defendant SuperMicro Computer, Inc.'s Fifth Supplemental Response to Rackable Systems, Inc.'s First Set of Interrogatories (Nos. 1, 3, 5, 6, 7) in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper US LLP on October 20, 2006, 19 pages, Verification, and Proof of Service.	
	40.	Plaintiff Rackable Systems, Inc.'s Response to Defendant SuperMicro Computer, Inc.'s First Set of Interrogatories in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on January 12, 2006, 12 pages, Verification dated January 11, 2006, and Certificate of Service.	
	41.	Patent Local Rule 4-3 Joint Claim Construction and Prehearing Statement in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP and Kirkland & Ellis LLP on May 24, 2006, 6 pages, Attestation Pursuant to General Order 45, Exhibits A-G (115 pages).	
	42.	Plaintiff Rackable Systems, Inc. Opening Claim Construction Brief in Case No. C 05-03561	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/678,006
				Filing Date	October 1, 2003
				First Named Inventor	Giovanni COGLITORE
				Art Unit	2835
				Examiner Name	L. Lea-Edmonds
Sheet	4	of	10	Attorney Docket Number	443452000103

		PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on June 21, 2006, 25 pages.	
	43.	Declaration of Benjamin R. Ostapuk in Support of Plaintiff Rackable Systems, Inc.'s Opening Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on June 21, 2006, 5 pages, Exhibits A-EE (172 pages).	
	44.	Defendant SuperMicro Computer, Inc.'s Responsive Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 5, 2006, 29 pages, Attachment 1 (3 pages).	
	45.	Declaration of Brent Yamashita in Support of Defendant SuperMicro, Inc.'s Responsive Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 5, 2006, Exhibits A-H (70 pages).	
	46.	Declaration of Expert Witness Samuel Wood in Support of Defendant SuperMicro, Inc.'s Responsive Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 1, 2006, 8 pages, Exhibit 1 (5 pages).	
	47.	Defendant SuperMicro Computer, Inc.'s [Proposed] Order on Claim Construction in Case No. C 05-03561 before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by DLA Piper Rudnick Gray Cary US LLP on July 5, 2006, 4 pages.	
	48.	Plaintiff Rackable Systems, Inc. Reply Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on July 17, 2006, 15 pages, Attachment A (39 pages).	
	49.	Declaration of Benjamin R. Ostapuk in Support of Plaintiff Rackable Systems, Inc.'s Reply Claim Construction Brief in Case No. C 05-03561 PJH before the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP on July 17, 2006, 2 pages, Exhibits A-B (20 pages).	
	50.	Declaration of Robert Stillerman in Case No. 05-03561 (PJH) before the United States District Court Northern California District in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , executed on July 17, 2006, 16 pages, Exhibits A-F (36 pages).	
	51.	Civil Minutes in Case No. C-05-3561 PJH before the United States District Court Northern California District in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , dated September 8, 2006, 1 page.	
	52.	Civil Minutes in Case No. C-05-3561 PJH before the United States District Court Northern California District in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , dated October 4, 2006, 1 page.	
	53.	Civil Minutes in Case No. C-05-3561 PJH before the United States District Court Northern California District in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , dated November 16, 2006, 1 page.	
	54.	Joint Case Management Conference Statement in Case No. C 05-03561 (PJH (WDB) before	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/678,006
				Filing Date	October 1, 2003
				First Named Inventor	Giovanni COGLITORE
				Art Unit	2835
				Examiner Name	L. Lea-Edmonds
Sheet	5	of	10	Attorney Docket Number	443452000103

		the United States District Court Northern District of California San Francisco Division in <u>Rackable Systems, Inc. v. Super Micro Computer, Inc.</u> , filed by Kirkland & Ellis LLP and DLA Piper US LLP on November 9, 2006, 8 pages and Attestation Pursuant to General Order 45.	
	55.	Anthem Electronics et al. (April 6, 1998). "What the Extra Quality Initiatives in Our New 6.4 GB EIDE Hard Drives Look Like," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 783, 1 page advertisement.	
	56.	Cabletron Systems, Inc. (April 5, 1999). "Juggling Those Tricky Apps? Smart Networks from Cabletron," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 836, 1 page advertisement.	
	57.	Hewlett Packard Company. (March 22, 1999). "Improve Your Profit Curve: HP ProCurve 9308M," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 834, 1 page advertisement.	
	58.	Siemens Industrial Automation, Inc. (September 1994). "It's a Natural Fit: SIMATIC TI575 - The Perfect Integration Platform," in <i>Computer Design-Technology and Design Directions (For Electronic Engineers & Engineering Managers)</i> , 1 page advertisement.	
	59.	SKY Computers, Inc. (September 1994). "Announcing SKYsystem- 20GFLOPS, Right Out of the Box," in <i>Computer Design-Technology and Design Directions (For Electronic Engineers & Engineering Managers)</i> , 1 page advertisement.	
	60.	Tech Data, Inc. (April 5, 1999). "Technology Products and Services Worldwide," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 836, 1 page advertisement.	
	61.	Westcon, Inc. (April 6, 1998). "Fast Track: Westcon Speeds You into the Profit Lane with 3Com's Broadband Access Products! (AccessBuilder 6100, SuperStackII Access Builder 9300/9100, Access Builder 9600)" in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 783, 1 page advertisement.	
	62.	Westcon, Inc. (March 22, 1999). "Happy New Y...2K!: Trade in Any of the Old for the new Y2K- only from Bay Networks and Westcon," in <i>Computer Reseller News (For Providers of Technology and Information Solutions)</i> , Vol. 834, 1 page advertisement.	
	63.	3Com Corporation (1996). "SuperStack™ II NETBuilder® Ethernet Bridge/Router Installation Guide," (Models 200, 222, 224, 227, 228, 422, 424, and 427), 98 pages.	
	64.	3Com Corporation (1996). "SuperStack™ II NETBuilder® Ethernet Installation Guide," (Models 200, 222, 224, 227, 228, 422, 424, and 427), 97 pages.	
	65.	3Com Corporation (August 1996). "NETBuilder® Family Bridge/Router Release Notes - (Software Version 9.1)," update pages for the Operation Guide, Reference Guide, Commands Quick Reference Guide, SuperStack II NETBuilder Ethernet Installation Guide, and SuperStack II NETBuilder Token Ring Installation Guide, 123 pages.	
	66.	3Com Corporation. (2003). "NETBuilder II HSS 8 Port BRI ST Module," located at < http://www.all3com.com/cart/3c6046.html > lastvisited on May 9, 2003 (1 page).	
	67.	3Com Corporation. (1996). "NETBuilder Family Bridge/Router Commands Quick Reference Guide," (Software Version 9.1), 224 pages.	
	68.	3Com Corporation. (2003). "NETBuilder II HSS 4 Port WAN Module," located at < http://www.all3com.com/cart/3c6047.html > last visited on May 9, 2003 (1 page).	
	69.	3Com Corporation. (August 1997). "Installing the NETBuilder II Dual Processor Engine Module: DPE40 and DPE Plus," 4 pages.	
	70.	3Com Corporation. (Date Unknown). "NETBuilder Family Bridge/Router Easy Step WAN Configurations," (Software Version 8.1), 14 pages.	
	71.	3Com Corporation. (Date Unknown). "NETBuilder Family Bridge/Router Easy Step Configuration Map," 7 pages.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
Sheet	6	of	10	Attorney Docket Number	443452000103

72.	3Com Corporation. (Date Unknown). "NETBuilder Family Bridge/Router Easy Step WAN Configurations," (Software Version 9.1), 14 pages.	
73.	3Com Corporation. (February 1994). "NETBuilder II HSS V.35 3-Port Module Installation Guide," 32 pages.	
74.	3Com Corporation. (February 1994). "NETBuilder II HSSI Module Installation Guide Release Notes," 4 pages.	
75.	3Com Corporation. (June 1998). "NETBuilder II Intelligent Routers," pp. 1-8.	
76.	3Com Corporation. (May 1997). "Installing the NETBuilder II Bridge/Router: For EZ Built Systems and Base Chassis," 82 pages.	
77.	3Com Corporation. (September 1999). "4-Port WAN Module Release Notes: Part No. 86-0613-000," 2 pages.	
78.	3Com Corporation. (1996). "SuperStack II NETBuilder: Remote Office Router Family -Flexible Network Expansion, Including ISDN," pp. 1-8.	
79.	3Com Corporation. (April 17, 1997). "SuperStack II NETBuilder: Remote Office Router Family -Flexible Network Expansion, Including ISDN," 10 pages.	
80.	Bartoszek, J. (Date Unknown). "Cabinet Design and Flow Distribution Systems," Digital Equipment Corp.: Maynard, MA, pp. 1-7.	
81.	Bay Networks, Inc. (November 1997). "Accelar 1200 Routing Switch," analysis by N. Lippis, Strategic Networks, 9 pages.	
82.	Cabletron Systems, Inc. (May 1999). "Bay Networks Accelar - Supports Management Module SM-BAY1002," in Spectrum Enterprise Manager: Device Management. 72 pages.	
83.	Cisco Systems, Inc. "Cisco 2509, 2510, 2511, and 2512," located at < http://www.cisco.com/en/US/products/hw/iad/ps492/products_installation_and_configuration_guide_book09186a008007c... > last visited on September 14, 2006 (1 page).	
84.	Cisco Systems, Inc. "Cisco AS5200 Universal Access Server Software Configuration Guide," located at < http://www.cisco.com/en/US/products/hw/iad/ps497/products_configuration_guide_book09186a008007c... > last visited on September 14, 2006 (145 pages).	
85.	Cisco Systems, Inc. "Cisco AS5200 Universal Server Installation Guide," located at < http://www.cisco.com/en/US/products/hw/iad/ps497/products_installation_guide_book09186a008007e28... > last visited on September 14, 2006 (1 page).	
86.	Cisco Systems, Inc. (1992-2005). "Cisco 2500 Series Overview - System Specifications, Memory Configurations," 8 pages.	
87.	Cisco Systems, Inc. (1995). "Cisco 2500 Family of Hub/Routers," (Data Sheet), 2 pages.	
88.	Cisco Systems, Inc. (1996). "Cisco AS5200 Universal Access Server Software Configuration Guide," 117 pages.	
89.	Cisco Systems, Inc. (1996). "Debug Command Reference: Cisco IOS Release 11.2 (Cisco Internetwork Operating System Release 11.2)," 422 pages.	
90.	Cisco Systems, Inc. (1996). "Regulatory Compliance and Safety Information - Product Number: AS5200," 58 pages.	
91.	Cisco Systems, Inc. (1996). "Regulatory Compliance and Safety Information - Product Numbers: Cisco 2520, Cisco 2521, Cisco 2522, Cisco 2523, CPA2520, CPA2521, CPA2522, and CPA2523," pp. 1-20.	
92.	Cisco Systems, Inc. (1996). "Regulatory Compliance and Safety Information - Product Numbers: 2501, CPA2501, 2502, CPA2502, 2503, CPA2503, 2504, CPA2504, 2513, CPA2513, 2514, CPA2514, 2515," pp. 1-18.	
93.	Cisco Systems, Inc. (1997). "Cisco 2500 Access Server Series," (Data Sheet), 5 pages.	
94.	Cisco Systems, Inc. (1997). "Firmware Release Notes for Cisco AS5200 Universal Access	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
Sheet	7	of	10	Attorney Docket Number	443452000103

		Server V.110 TA 12-Port Modules," pp. 1-4.	
	95.	Cisco Systems, Inc. (1997). "Installing 56K 12-Port Modules in Cisco AS5200 Universal Access Servers," pp. 1-36.	
	96.	Cisco Systems, Inc. (1997). "Installing V.110 12-Port Modules in Cisco AS5200 Universal Access Servers," pp. 1-12.	
	97.	Cisco Systems, Inc. (1997). "Replacing the Power Supply in Cisco AS5200 Universal Access Servers," pp. 1-16.	
	98.	Cisco Systems, Inc. (1998). "Firmware Release Notes for Cisco AS5200 Universal Access Server V.110 TA 12-Port Modules Version 2.8.15, pp. 1-4.	
	99.	Cisco Systems, Inc. (1998). "How to Download Portware," pp. 1-16.	
	100.	Cisco Systems, Inc. (1998). "Installing 6-Port MICA Modules and Carrier Cards in Cisco AS5200 Universal Access Servers," pp. 1-44.	
	101.	Cisco Systems, Inc. (1998). "Installing Dual T1/PRI or E1/PRI Feature Cards in Cisco AS5200 Universal Access Servers," pp. 1-28.	
	102.	Cisco Systems, Inc. (1998). "Regulatory Compliance and Safety Information," pp. 1-22.	
	103.	Cisco Systems, Inc. (1998). "Replacing Memory Modules in Cisco AS5200 Universal Access Servers," pp. 1-18.	
	104.	Cisco Systems, Inc. (1998). "Replacing ROM Monitor ROMs in Cisco AS5200 Universal Access Servers," pp. 1-14.	
	105.	Cisco Systems, Inc. (1996). "Replacing the Boot ROMs in the Cisco 2500 Series and AccessPro PC Card Routers," pp. 1-22.	
	106.	Cisco Systems, Inc. (1998-1999). "Cisco AS5200 Universal Access Server Install and Configure," Quick Start Guide, pp.1-17.	
	107.	Cisco Systems, Inc. (2001). "Loading Cisco IOS Software," Quick Start Guide, pp. 1-16.	
	108.	Cisco Systems, Inc. (April 9, 2001). "Release Notes for Cisco AS5200 Universal Access Servers for Cisco IOS Release 11.2," pp. 1-22.	
	109.	Cisco Systems, Inc. (Date Unknown). "About This Manual," <u>Cisco 2500 Series Hardware Installation and Maintenance</u> , 100 pages.	
	110.	Cisco Systems, Inc. (Date Unknown). "Appendix A - Cisco AS5200 Universal Access Server ROM Monitor," <u>Cisco AS5200 Universal Access Server Software Configuration Guide</u> , pp. A1-A14.	
	111.	Cisco Systems, Inc. (Date Unknown). "Appendix B - Maintaining the Access Server," <u>Cisco Access Server Hardware Installation Guide</u> , pp. B1-B12.	
	112.	Cisco Systems, Inc. (Date Unknown). "Cisco 2500 Series Access Server User Guide," 174 pages.	
	113.	Cisco Systems, Inc. (1997). "Installing Cisco AC and DC Power Supplies in Cisco 2500 Series Routers," pp. 1-12.	
	114.	Cisco Systems, Inc. (Date Unknown). "Cisco 2500 Series Hardware Installation and Maintenance," 96 pages.	
	115.	Cisco Systems, Inc. (Date Unknown). "Cisco 2517 and Cisco 2519 Router/Hub User Guide," 142 pages.	
	116.	Cisco Systems, Inc. (1996). "Cisco 2517 and Cisco 2519 Router/Hub Public Network Certification," pp. 1-6.	
	117.	Cisco Systems, Inc. (Date Unknown). "Cisco 2518 Router/Hub User Guide," 138 pages.	
	118.	Cisco Systems, Inc. (1995). "Cisco 2518 Router/Hub Public Network Certification," pp. 1-6.	
	119.	Cisco Systems, Inc. (Date Unknown). "Cisco 2524 and Cisco 2525 Router User Guide," 166 pages.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
Sheet	8	of	10	Attorney Docket Number	443452000103

	120.	Cisco Systems, Inc. (1996). "Cisco 2524 and Cisco 2525 Public Network Certification," pp. 1-6.	
	121.	Cisco Systems, Inc. (1995). "Installing WAN Modules in the Cisco 2524 and 2525 Routers," pp. 1-4.	
	122.	Cisco Systems, Inc. (Date Unknown). "Cisco AS5200 Universal Access Server Hardware Installation Guide," 119 pages.	
	123.	Cisco Systems, Inc. (Date Unknown). "Cisco AS5200 Universal Access Server Software Configuration Guide," 151 pages.	
	124.	Cisco Systems, Inc. (Date Unknown). "Cisco H.235 Accounting and Security Enhancements for Cisco Gateways," Cisco IOS Release 12.1(5)XM and 12.2(11)T, pp. 1-10.	
	125.	Cisco Systems, Inc. (Date Unknown). "Cisco Multiport Router Hardware Installation and Configuration Guide," 100 pages.	
	126.	Cisco Systems, Inc. (Date Unknown). "Continuity Testing (COT)," Release 12.0(3)T, pp. 1-16.	
	127.	Cisco Systems, Inc. (Date Unknown). "Copying Bundled Portware from the Cisco IOS Image to Onboard Modems," Release 11.3(2)T, pp. 1-10.	
	128.	Cisco Systems, Inc. (Date Unknown). "Gateways," Chapter 38 <i>In Cisco CallManager Administration Guide</i> 7 pp. (38-1)-(38-44).	
	129.	Cisco Systems, Inc. (Date Unknown). "Getting Started," Chapters 1-3 <i>In Cisco 2500 Series Hardware Installation</i> , pp. (1)1-(3)26, appendix (A)1-2, appendix (B)1-2.	
	130.	Cisco Systems, Inc. (Date Unknown). "ISDN Module," Release 12.0(3)T, pp. 1-6.	
	131.	Cisco Systems, Inc. (Date Unknown). "R1 Modified Signaling for the Cisco AS5200 and AS5300 Access Servers," pp. 1-14.	
	132.	Cisco Systems, Inc. (Date Unknown). "Redundant Link Manager (RLM)," Release 12.0(3)T pp. 1-30.	
	133.	Cisco Systems, Inc. (Date Unknown). "Router Installation and Configuration Guide," 122 pages.	
	134.	Cisco Systems, Inc. (Date Unknown). "SS7 Continuity Testing for Network Access Servers," Release 11.3(5)AA, pp. 1-10.	
	135.	Cisco Systems, Inc. (Date Unknown). "Switched 56K Digital Dial-in Over Channelized T1 and Robbed Bit Signaling," Release 11.3(2)T, pp. 1-26.	
	136.	Cisco Systems, Inc. (Date Unknown). Data Sheet for "Catalyst 5000 Family of Switches", 9 pages.	
	137.	Cisco Systems, Inc. (February 22, 2001). "Cisco AS5200 Hardware/Cisco IOS Software Compatibility Matrix," pp. 1-6.	
	138.	Cisco Systems, Inc. (January 18, 1999). "Release Notes for the Cisco AS5100 and AS5200 Access Servers for Cisco IOS Release 11.2 P," pp. 1-30.	
	139.	Cisco Systems, Inc. (January 18, 1999). "Release Notes for the Cisco AS5100 and AS5200 Access Servers for Cisco IOS Release 11.2 P," pp. 1-30.	
	140.	Cisco Systems, Inc. (January 2, 2001). "Release Notes for Cisco AS5200 Universal Access Servers for Cisco IOS Release 12.0," pp. 1-18.	
	141.	Cisco Systems, Inc. (January 21, 2000). "Release Notes for Cisco AS5200 Universal Access Servers for Cisco IOS Release 12.0 T," pp. 1-34.	
	142.	Cisco Systems, Inc. (January 24, 1999). "Release Notes for Cisco AS5200 and Cisco AS5300 Universal Access Servers for Cisco IOS Release 11.3 AA," pp. 1-44.	
	143.	Cisco Systems, Inc. (January 26, 1998). "Release Notes for Cisco IOS Release 11.2(10)P Software Feature Packs—Cisco AS5200 Access Servers," pp. 1-14.	
	144.	Cisco Systems, Inc. (July 1995). "Cisco Systems, Inc. Products Catalogue," Table of Contents	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
Sheet	9	of	10	Attorney Docket Number	443452000103

		pp. i-xx and 341 pages.	
	145.	Cisco Systems, Inc. (July 26, 1999). "Release Notes for Cisco AS5100 and Cisco AS5200 Universal Access Servers for Cisco IOS Release 11.3," pp. 1-20.	
	146.	Cisco Systems, Inc. (February 28, 2005). "Cisco 2500 Series Router Architecture - Document ID: 5750," located at < http://www.cisco.com/warp/customer/63/arch_2500_5750.shtml > last visited on March 1, 2005, pp. 1-12.	
	147.	Cisco Systems, Inc. (July 7, 2005). "Cisco 2500 Series Router Architecture - Document ID: 5750," 18 pages.	
	148.	Cisco Systems, Inc. (Oct. 1, 2004). "Cisco - Password Recovery Procedure for the Cisco 2000, 2500, 3000, 4000, AccessPro, 7000 (RP), AGS, IGS, STS-10x," Table of Contents and 11 pages.	
	149.	Cisco Systems, Inc. (Sep. 5, 2005). "Cisco - Identifying the Controllers and Modem Hardware On AS5xxx Platforms," Table of Contents and 28 pages.	
	150.	Cisco Systems, Inc. (June 13, 2005). "Cisco - Configuring a Terminal/Comm Server," Table of Contents and 8 pages.	
	151.	Cisco Systems, Inc. (Sept. 15, 2005). "Cisco - Configuring PPP Dialin with External Modems," Table of Contents and 10 pages.	
	152.	Cisco Systems, Inc. (Aug. 30, 2005). "Cisco - Configuring a Comm/Terminal Server for Sun Console Access," Table of Contents and 6 pages.	
	153.	Cisco Systems, Inc. (Oct. 5, 2004). "Cisco - Flash Upgrade Procedure for the Cisco 2500 Series Router," Table of Contents and 8 pages.	
	154.	Cisco Systems, Inc. (Aug. 31, 2005). "Cisco - Configuring Cisco Integrated Data Service Unit/Channel Service Unit (DSU/CSU) Modules and WAN Interface Cards," Table of Contents and 20 pages.	
	155.	Cisco Systems, Inc. (Sept. 5, 2005). "Cisco - Using the Cisco 2524-2525 Back-to-Back," Table of Contents and 3 pages.	
	156.	Cisco Systems, Inc. (Sept. 1, 2005). "Cisco - CAB-OCTAL-ASYNC Cable Pinouts," Table of Contents and 5 pages.	
	157.	Cisco Systems, Inc. (Aug. 2, 2006). "Telnet, Console and AUX Port Passwords on Cisco Routers Configuration Example," 8 pages.	
	158.	Cisco Systems, Inc. (Aug. 3, 2006). "Telnet Password on Cisco Router to Avoid Error Message Configuration Example," 3 pages.	
	159.	Cisco Systems, Inc. (1992-2003). "Cisco - PSIRT Reference Information," Table of Contents and 2 pages.	
	160.	Cisco Systems, Inc. (Date Unknown). "Configuring Passwords and Privileges," in <u>Security Configuration Guide</u> , pp. SC-323-SC-336.	
	161.	Cobalt Networks, Inc. (1998). "Cobalt RaQ Microservers: Low-Cost, High-Density Internet Servers Designed Expressly for ISPs", 2 pages.	
	162.	Cobalt Networks, Inc. (1999). "Cobalt RaQ 2: Low-Cost, High-Density Internet Server Appliances Designed Expressly for ISPs", 6 pages.	
	163.	Cobalt Networks, Inc. (2000). "Cobalt RaQ 3: Maximum Profitability in the Smallest Rack Space", 6 pages.	
	164.	Cobalt Networks, Inc. (2000). "Cobalt RaQ 4: Superb Developer Tool Support for More Hosted Applications", 6 pages.	
	165.	Correia, G. (December 1996). "Approaching Packaging As A System," <i>System Packaging</i> , pp.65-76.	
	166.	Dooyoo AG Company, Ltd. (2006). "Shiva Lanrover D56", located at	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/678,006	
			Filing Date	October 1, 2003	
			First Named Inventor	Giovanni COGLITORE	
			Art Unit	2835	
			Examiner Name	L. Lea-Edmonds	
Sheet	10	of	10	Attorney Docket Number	443452000103

		< http://www.dooyoo.co.uk/modems-communication/shiva-lanrover-d56/details/ >. (2 pages).	
167.		Fujitsu Corp. (1998). "High-Reliability Technology of Electro-Mechanical Design for GRANPOWER 5000 Series," 7 pages.	
168.		Gyorki, J.R. (December 11, 1997). "Electrical Enclosures Design Guide: The Inside Story on Electrical Enclosure Trends," <i>Machine Design</i> , pp. 62-67.	
169.		Hewlett Packard Company. (April 1970). Chapters 1-8 In <i>HP Basic</i> , 2nd Edition, Cupertino, CA, pp. i-xi, (1)1-(8)8, Appendix A(1)-Appendix D(7), Index (I)1-4	
170.		Hewlett Packard Company. (August 18, 1967). "A General-Purpose Computer for Scientific Computation and Instrumentation Systems," HP-2116A Computer (Technical Data), pp.1-20.	
171.		James, G. et al. (April 19, 1999). "All-In-One Security Appliances - Firewall and VPN Combination Devices Simplify Security," located at < http://www.checkpoint.com/corporate/articles/network041999.html > last visited on August 16, 2006. (4 pages).	
172.		James, G. et al. (April 19, 1999). "All-In-One Security Appliances," located at < http://www.networkworld.com/reviews/0419rev.html > last visited on August 16, 2006. (8 pages).	
173.		Nortel Networks, Inc. (October 1998). "News Releases," located at < http://www.nortel.com/corporate/news/newsreleases/press_10-98.html > last visited on February 16, 2006 (10 pages).	
174.		Nortel Networks, Inc. (1998). "Building SAP-Capable Networks - How To Turn Your Network Into A Competitive Advantage," in White Paper - <i>Building an SAP-Capable Network</i> , pp. 1-24.	
175.		Palmer, C. (October 1984). "Enclosures and Hardware - 19-inch Standard Dominates," <i>Electronics Industry</i> , pp.15-29.	
176.		Silicon Graphics, Inc. (1998). "Rack-Mount O ² : A Rack-Mountable Web Server for ISPs of All Sizes", Data Sheet, 2 pages.	
177.		Silicon Graphics, Inc. (2000). "Silicon Graphics Unveils Dedicated, Entry-Level Hosting Solution," located at < http://www.sgi.com/newsroom/press_releases/1998/march/o2webserver_release.html > last visited on January 6, 2000. (2 pages).	
178.		Silicon Graphics, Inc. (2000). "A Rack-Mountable Web Server for ISPs of All Sizes," located at < http://www.sgi.com/solutions/internet/products/rackmount.html > last visited on January 6, 2000. (4 pages).	
179.		3Com. (Date Unknown). "3Com NetBuilder 222B," color photographs, 5 pages.	
180.		3Com. (Date Unknown). "3Com NetBuilder 227," color photographs, 2 pages.	
181.		3Com. (Date Unknown). "3Com NetBuilder II," color photographs, 26 pages.	
182.		3Com. (Date Unknown). "3Com NetBuilder 201B," color photographs, 5 pages.	
183.		Alantec. (Date Unknown). "Alantec PowerHub 7000," color photographs, 20 pages.	
184.		Bay Networks. (Date Unknown). "Accelar 1200," color photographs, 12 pages.	
185.		Cisco Systems, Inc. (Date Unknown). "Cisco 2500," color photographs, 14 pages.	
186.		Cisco Systems, Inc. (Date Unknown). "Cisco Catalyst 5000," color photographs, 11 pages.	
187.		David Systems, Inc. (Date Unknown). "David Manager," color photographs, 27 pages.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

pa-1127173